

201220 0420001

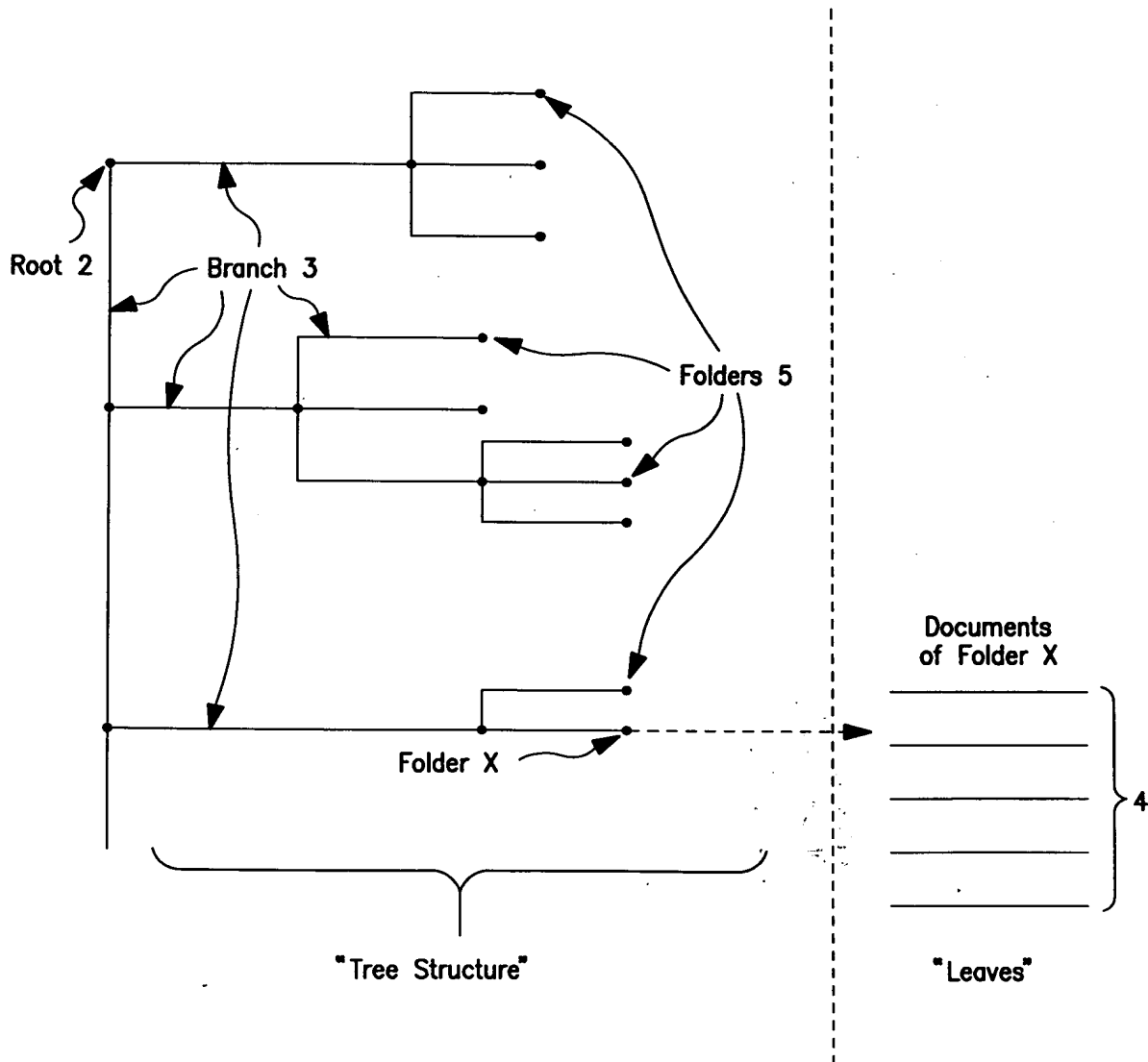


FIG. 1

10008470.032103
201220.0248001

Attributes

Trading Orders	Buy/Sell	Stock	Client	Industry	Market	Account
	B	AA	X	Health	NYSE	1.00
	B	BB	X	Drug	NYSE	2.00
	B	CC	Y	Defense	NASDAQ	3.00
	S	AA	Y	Health	NYSE	4.00
	S	BB	Z	Drug	NYSE	5.00
	S	CC	Z	Defense	NASDAQ	6.00

FIG. 2A

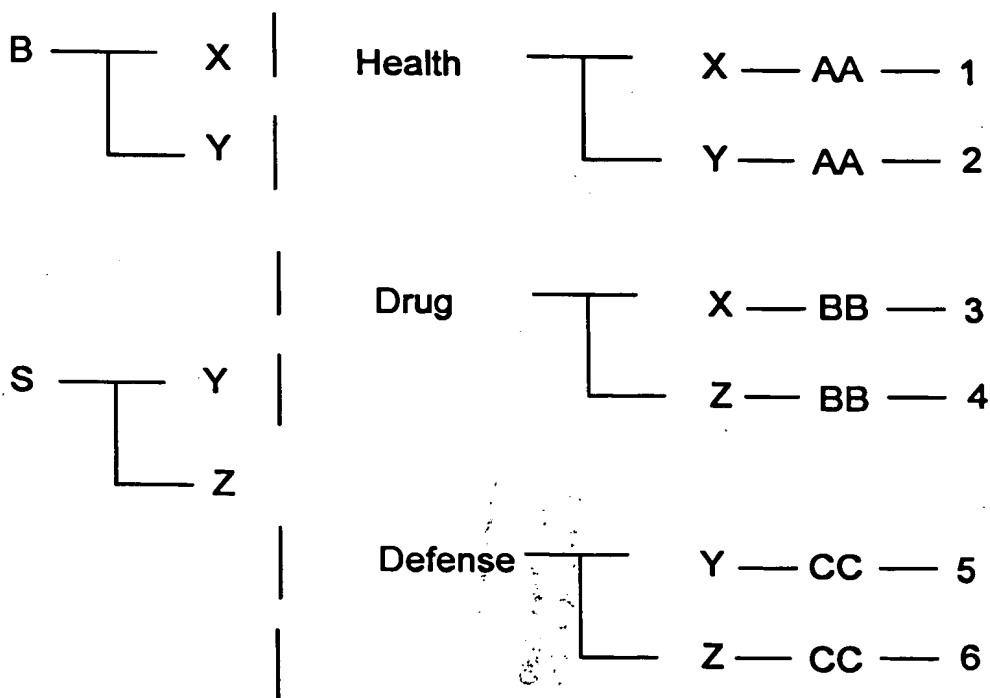


FIG. 2B

FIG. 2C

1000470.032102

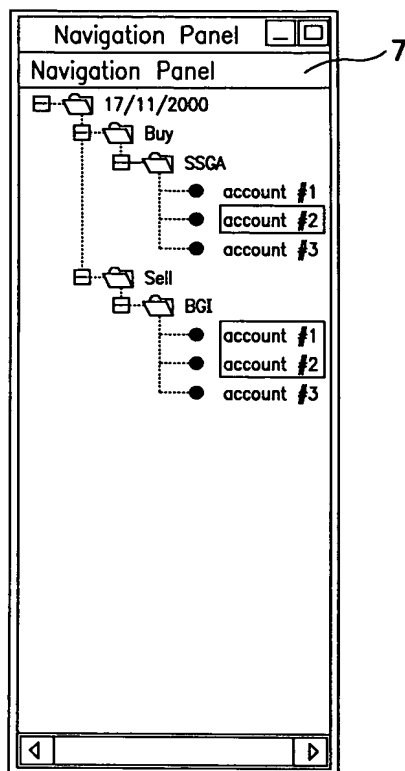


FIG. 3A

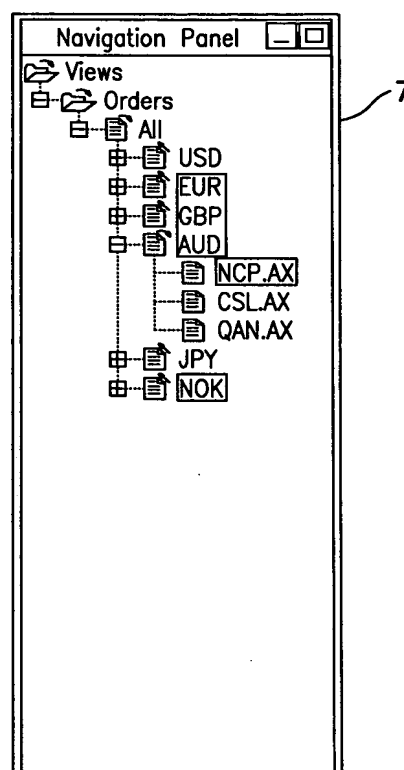


FIG. 3C

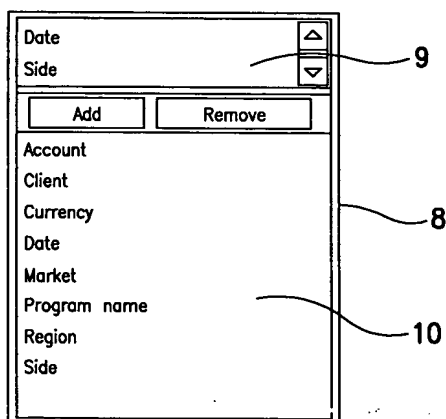


FIG. 3B

207250 04180007

Select Columns

Selected		Available
Side	Up	Cost
CCY		Opport
RicCode	Down	Pct_Cmpl
Instinet		Pct_Vol
Description	<<	Pct_Sprd
Strike		Pct_Chng
Nominal	>>	Local_Value
Traded		
Price		

Column: Description
Format: General

OK
Cancel

FIG. 4

Filter Options

Nominal > 10,000

☐ Exclude Matching Items
☐ Case sensitive

OK
Cancel

FIG. 5

Sorting Order

Sort: Side Z A
CCY A Z
RicCode Z A
(none) A Z
(none) A Z

OK
Cancel

FIG. 6

20T22E0 02480000T

Newport Client--James Teather London Dev connected to Jan's Test Server

File Edit Program Order Tools Window Help

Navigation Sector

Order ID Order Qty Side Order Price Limit Price Ask Bid Value Residual

INLET

Order Qty Time Raised Alert Time Order Group Instr Type Currency Industry Sector Alert Source

0

Accept Reject

Orders

test

128/128

C...	Order ID	Order Qty	Side	Order Price	Limit Price	Ask	Bid	Value	Residual
✓	1006954672442	✓	Buy	5,430	CSGN.VX	347,880	65,200	65,150	354,036,000
✓	1006954674192	✓	Buy	78,150	DBKG.I	79,716	73,290	73,120	5,727,613,500
✓	1006954674537	✓	Buy	78,150	DBKG.I	79,716	73,290	73,120	5,727,613,500
✓	1006954673157	✓	Buy	78,150	DBKG.I	79,716	73,290	73,120	5,727,613,500
✓	1006954672787	✓	Buy	5,430	CSGN.VX	347,880	65,200	65,050	354,036,000
✓	1006954673721	✓	Buy	46,700	HSBAL	730,080	838,000	837,000	391,346,000
✓	1006954672207	✓	Sell	700	BAC	0,000	62,130	62,100	43,470,000
✓	1006954671078	✓	Sell	4,200	FBF	0,000	37,620	37,610	157,962,000
✓	1006954672332	✓	Sell	1,000	ONE	0,000	37,490	37,450	37,450,000
✓	1006954671073	✓	Sell	4,400	FBF	0,000	37,620	37,610	165,484,000
✓	1006954673341	✓	Sell	5,400	AAH.I	23,080	17,950	17,920	96,768,000
✓	1006954672996	✓	Sell	5,400	AAH.I	23,080	17,950	17,920	96,768,000

Outbox

33/33

Order ID	Order Qty	Side	Placed With	Client Notes	Benchmark	Time Raised	Expiry Type	Expiry Time
✓	1006948206136	Buy	London Dev		0.000	13:24:22 DAY		
✓	1006948206108	Buy	400 CC...		0.000	13:21:34 DAY		
✓	1006954675173	Buy	32,690 HSB...		0.000	16:56:01 DAY		
✓	1006954675173	Buy	32,690 HSB...		0.000	16:56:01 DAY		
✓	1006954675183	Buy	62,400 DBK...		0.000	16:56:01 DAY		

Order 1006954673956 cancelled

EUR

FIG. 7A

207220"04780007

Newport Client--James Teather @ London Dev connected to Jan's Test Server

File Edit Program Order Tools Window Help

Navigation Portfolio, Sector & Currency

g99g test xx (none) Euro Transition PF Banking NOK SEK Multi-Industry DKK Electrical & Electronics EUR SEK Forest Products & Paper Energy Sources Transportation-Shipping Telecommunications Insurance Machinery & Engineering Building Materials & Com Health & Personal Care Merchandising Business & Public Service Construction & Housing Metals-Steel Beverages & Tobacco Invalid Instrument xxxx SDG gf g99g test Euro Transition PF

Inbox

Order ID Order Qty Time Raised Alert Time Order Group Instr Type Currency Industry Sector Alert Source

INLET 0

Accept Reject

3/3

Cancel Lock Unlock Custom...

Orders

Order ID	Side	Order Qty	INLET	1st RIC	Limit Price	Ask	Bid	Value	Residual	Imp
1006954675866	Buy	6,445	NOKA.I	NOK1V.HE	0.000	25.680	25.600	165,507.600	6,445.000	
1006954675896	Buy	700	LMEB.I	ERICb.ST	0.000	58.000	57.500	40,600.000	700.000	
1006954675931	Sell	3,900	LMEB.I	ERICb.ST	0.000	58.000	57.500	224,250.000	3,900.000	
		11,045			0.000			430,357.600	11,045.000	

Outbox

Order ID	Parent Orders	Time Raised	Side	Order...	INLET	Held	Limit Price	Strike Price	Benchmark
100695467...	1006954675896	18:22:48	0	LMEB.I		✓	0.000	0.000	0.000D
100695467...	1006954675931	18:22:48	Sell	200	LMEB.I	✓	0.000	0.000	0.000D
			200				0.000	0.000	0.000

Create slice completed

EUR

FIG. 7B

10008470-032102

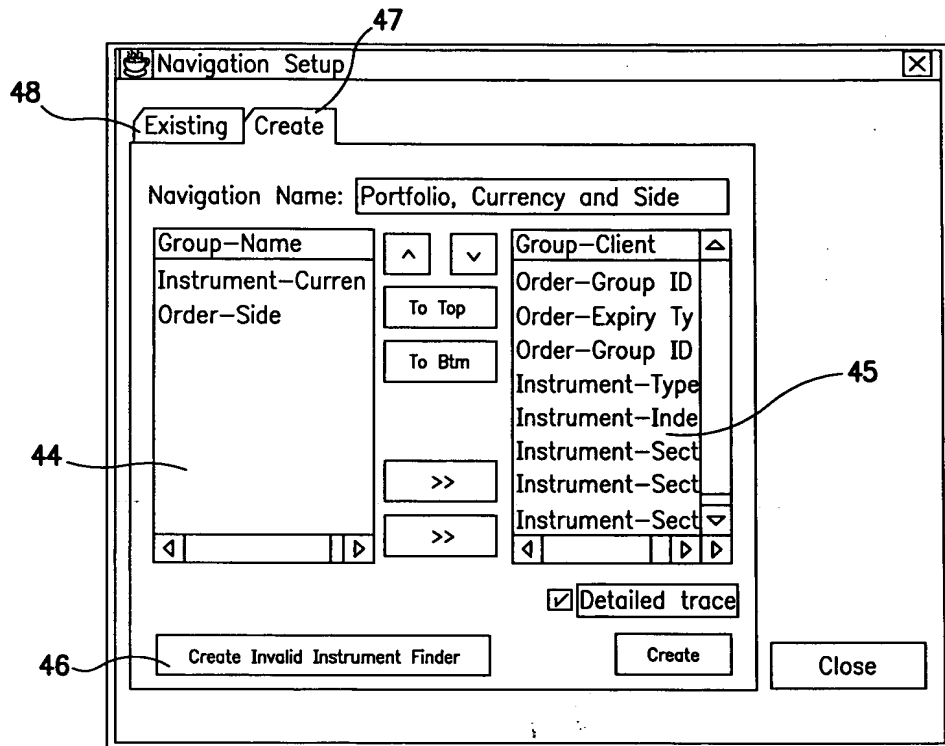


FIG. 7C

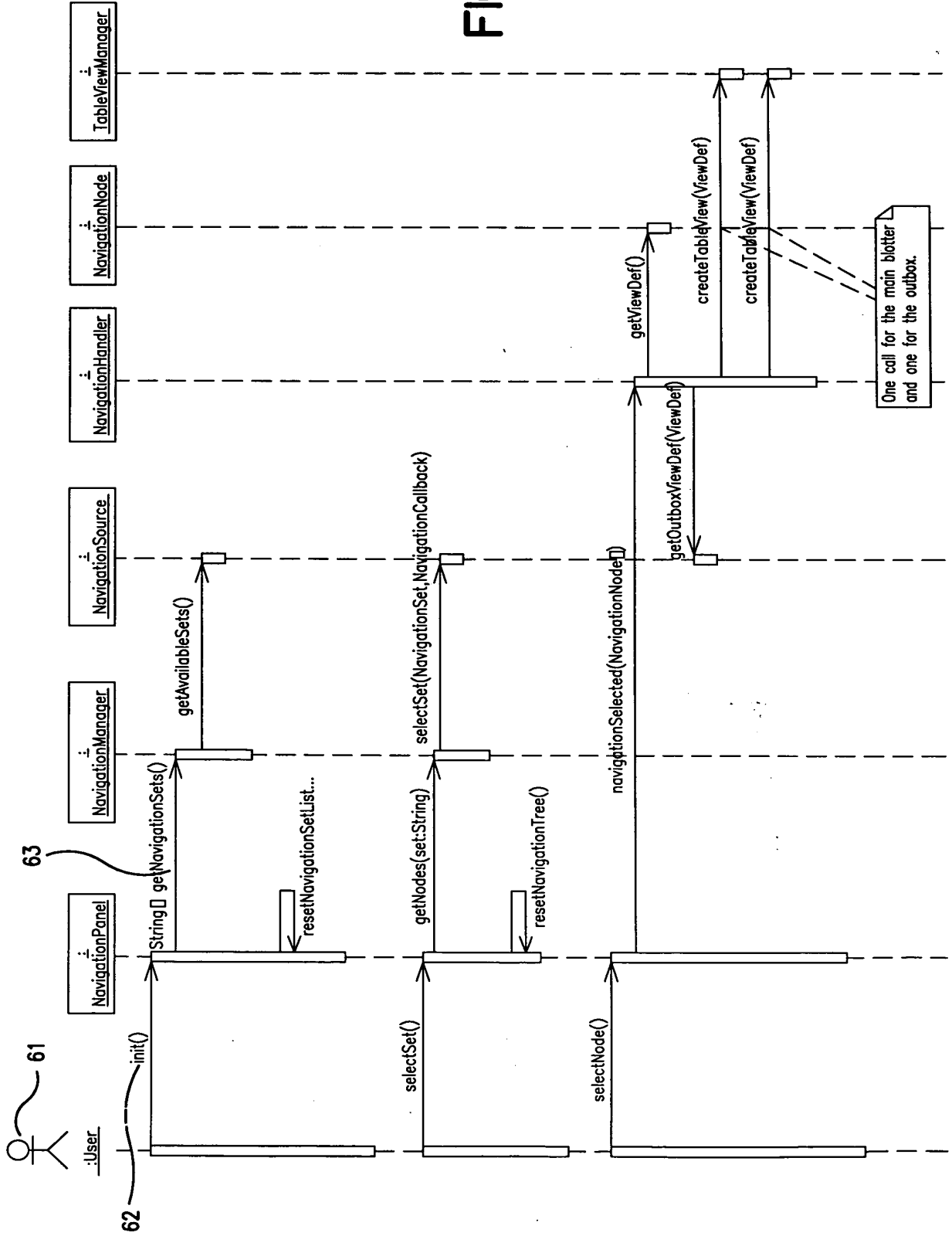


FIG. 8

64

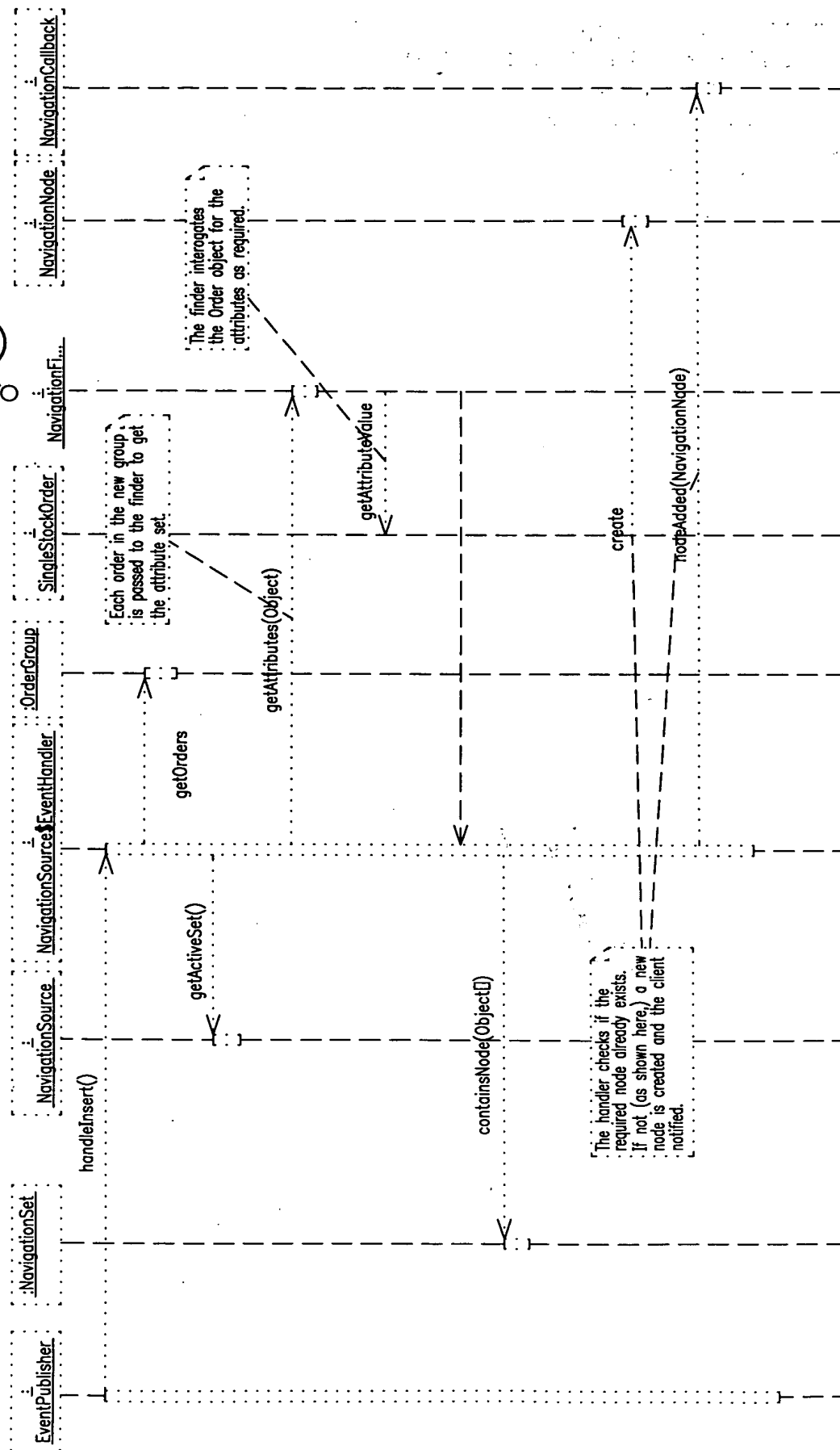


FIG. 10

10006470-032102

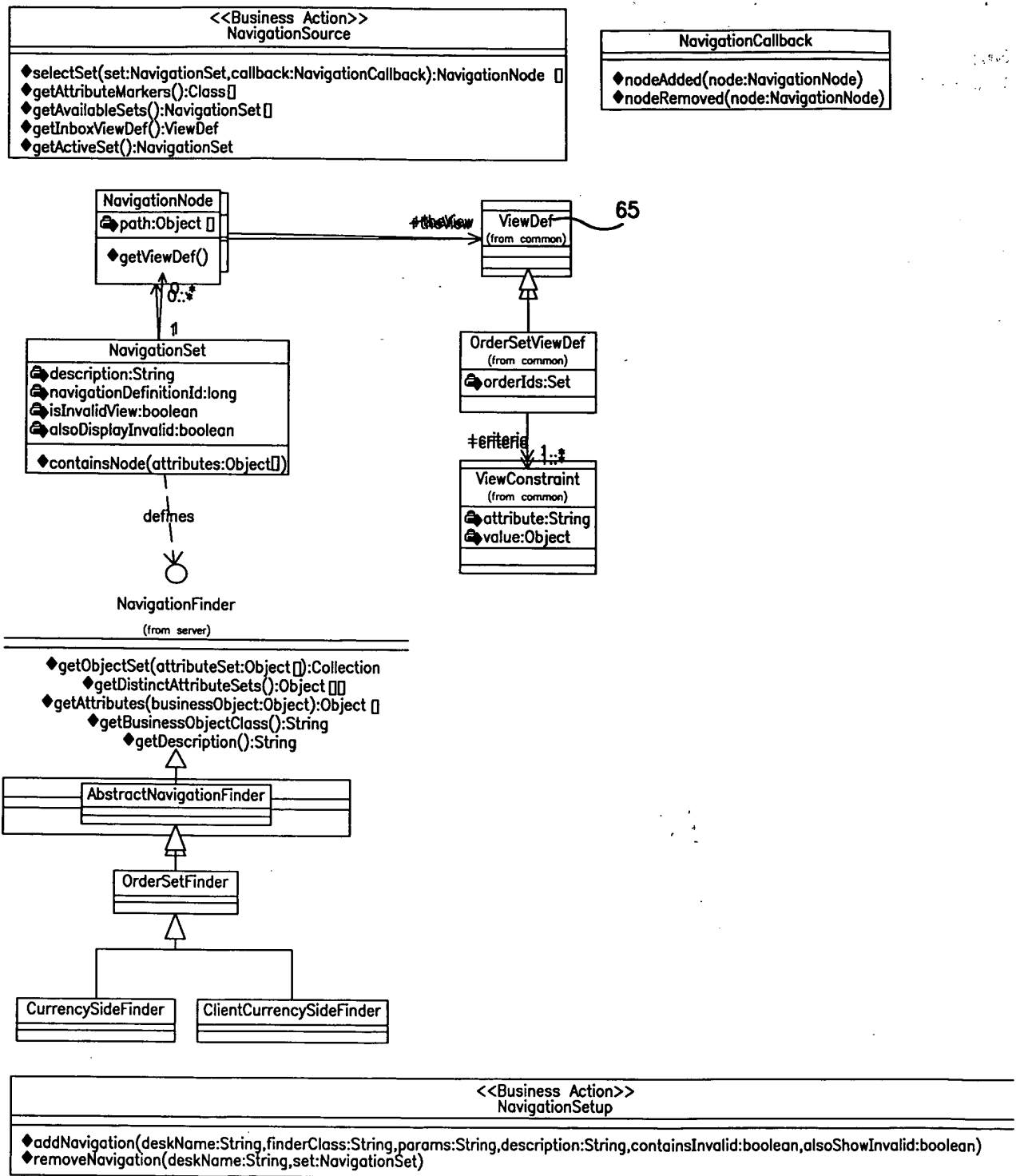


FIG. 11